

15TH OFFERING: CAPITAL MARKETS and FIXED INCOME COURSE

The is in line with SEC-FINEX Academy collaboration on the modules for the SEC Licensing Exams

"WAR (WAR) ... WHAT IS IT GOOD FOR, ABSOLUTELY NOTHING."

SONG BY EDWIN STARR (1970)

The song further captures what the majority want to say... Peace, Love and Understanding, Tell me, is there no place for them today? They say we must fight to keep our Freedom, But Lord knows there's got to be a Better Way "...I

The world today is in a deeper turmoil, as the US and Israel continue to wage war on Iran and its proxies. Geopolitical tensions remain in various parts of the world: Russia, Ukraine, India, Pakistan while China acts like a Crouching Tiger biding its time.

The post World War II "Global Order" characterized by global economic integration anchored on multilateral rules was broken and upended by America's Me First Policy. The rest of the world, including the Philippines become collateral damage. Oil prices have skyrocketed at record levels, and supply of critical goods remain uncertain increasing the prospect of slowing growth and high inflation .

Now more than ever, finance professionals are navigating complex political and market dynamics, from shifting interest rate cycles, volatility of commodity prices and evolving regulatory requirements. This flagship Finex Academy Program is designed to provide market participants with **a strong foundation of capital markets and fixed income securities**. The program follows a structured progression - from technical and regulation to a specialized deep dive into capital markets- ensuring that participants gain both conceptual clarity and applied insights.

Whether you are advancing your career, preparing for SEC licensing certification, or strengthening your market expertise, our lecturers, all subject matter experts and industry practitioners, will help you gain a strong professional edge as they share their insights on how they can use the tools critical in navigating the many varied challenges and surprises ahead.

TESTIMONIALS



MR. SIMOUN UNG
PRESIDENT & CEO AND DIRECTOR,
OMNIPAY, INC.

The Capital Markets and Fixed Income Securities Course provides an exhaustive curriculum tailored for finance professionals, covering critical modules from economic theories to the end-to-end lifecycle of fixed income instruments. In response to participant feedback, the eight modules have been expanded to 2.5 hours each, allowing for a more rigorous exploration of complex topics alongside esteemed practitioners such as Jonathan Ravelas and Antonino Nakpil. Beyond technical practitioners, the program serves as a vital resource for business leaders and board directors, offering the market intelligence and regulatory grounding including Corporate Governance and Risk Management necessary to maintain a growth trajectory amidst global volatility.



ATTY. EUNEY MARIE MATA-PEREZ
MANAGING AND FOUNDING PARTNER,
MATA-PEREZ, TAMAYO & FRANCISCO

I have always been interested in the capital markets, but not being into that business, I knew there were many aspects of the market, even the economics of it, that I do not know. Although as a lawyer, I have some background on the legal and regulatory framework, I felt I needed a course which will "tie" what I know, and provide me with not just with practical insights, but also reinforce my legal knowledge. For that reason, I joined the 11th Capital Markets and Fixed Income Securities Course, by FINEX Academy (2024). The course, which was delivered by very seasoned speakers, also made me understand the economics of the capital market and the fundamentals of securities, especially the fixed income securities, and gave me practical insights on how the capital market operates. I highly recommend the course to anyone interested in investing in and understanding our capital markets.



MR. ADRIAN NATHAN CO
INVESTMENT BANKING ASSOCIATE,
RCBC CAPITAL

The FINEX Capital Markets and Fixed Income Course offers a rare opportunity to learn directly from the lived experiences of seasoned industry veterans. It provides a masterclass in how the capital markets ecosystem interconnects, offering a bird's-eye view of the industry without sacrificing technical depth. I highly recommend it for both newcomers and experienced professionals seeking a more nuanced understanding of the market.

ISSUANCE OF CERTIFICATES AND DIGITAL BADGES

Attendees will be required to take a short mandatory exam for 30 minutes towards the end of the module session. Those who pass the exams will be given a Certificate of Completion. In addition they will be given a Credly Digital Badge that they can attach to their LinkedIn Profile or their other online professional platforms. The Top 3 with the highest aggregate score will be given a Certificate of Completion with Distinction that will likewise be reflected in their Credly Digital Badge.

SPEAKERS, COVERAGE, AND SCHEDULE

CAPITAL MARKETS (VIRTUAL SESSIONS)

SESSION 1 (SEC Module 2) ECONOMIC PRINCIPLES AND MARKET THEORIES JONATHAN RAVELAS

May 16, 2026, 9:00 AM - 12:15 NN

This session will start with a brief discussion of ethics and governance as the foundation of the activities of financial market participants. Thereafter, it will delve into the economic cycle, key macroeconomic indicators and monetary and fiscal policies relevant to the capital markets' operation. Macroeconomic and financial market outlook, especially given the current turbulence in the global economy, will also be discussed in this session.

SESSION 2 (SEC Module 3) SECURITIES MARKETS AND REGULATIONS (EXPANDED) ATTY. JULIO BUCOY

May 16, 2026, 1:30 PM - 5:45 PM

This session will have an extensive discussion of the role of the different market participants: the issuers, investors and intermediaries/underwriters; regulators: SEC, BSP, BTr and BIR; trading markets: exchanges, OTC and ATS; and market providers/settlement institutions: registry, custodian and depository. It will cover pertinent government regulations including taxation. Certain cases of alleged securities violations and how regulators have responded to these will also be discussed.

SESSION 3 (SEC Module 4) CORPORATE GOVERNANCE ATTY. RICARDO PILARES III May 23, 2026, 9:00 AM – 12:15 NN

This session will focus on corporate governance particularly among publicly listed companies, registered issuers other regulated entities. It will discuss the responsibilities of the Board of Directors and governance policies such as conflict of interest and whistleblowing. This module will also cover the ASEAN corporate scorecard and integrated annual corporate governance reporting currently required by the SEC.

SESSION 4 (SEC Module 1) FUNDAMENTALS OF SECURITIES (EXPANDED) MR. RODRIGO ALBERT MADDATU May 23, 2026, 1:30 PM - 5:45 PM

This session will take a deep dive on securities: the types of securities (debt, equity, hybrid and derivatives) and investor benefits, rights and risks inherent in them. It will distinguish between the different types of markets: domestic vs global, primary vs secondary and OTC vs exchange trading. It will walk the participants through the lifecycle of securities from origination to redemption. It will discuss bond and common share valuation and the factors affecting interest rates and share prices.

SESSION 5 (SEC Module 6) ANTI-MONEY LAUNDERING MS. CHRISTIANE MENDOZA May 30, 2026, 9:00 AM – 12: 15 NN

This module will present the basic concepts and fundamentals of Anti-Money Laundering and Counter Terrorist Financing (AML-CTF). It will discuss the different predicate crimes and unlawful activities and the duties and responsibilities of covered persons. The Philippines' exit from the gray list and latest occurrences of financial crimes and the implicit impact of AML-CTF policies of covered institutions will also be discussed.

SESSION 6 SECONDARY MARKETS MR. ANTONINO NAKPIL May 30, 2026, 1:30 PM – 5:15 PM

This specialized track will provide a comprehensive discussion of secondary market trading of securities, both fixed income and equities. It will delve deep into the market pricing and valuation of securities, identifying key risks and external factors that influence them. It will discuss the various trading markets and the roles of the various market professionals: dealers, brokers, associated persons and salesmen. It will also cover liquidity-support mechanisms, particularly repo and securities borrowing and lending transactions.

(FACE TO FACE SESSION)
VENUE: SGV TRAINING CENTER, MAKATI CITY

SESSION 7 (SEC Module 5) RISK MANAGEMENT Juan Gabriel Tomas IV June 6, 2026, 9:00 AM – 12:15 NN

This module will look into the three levels of risk: known, differentiating between developing risks and black swan risks. It will discuss extensively both financial and non-financial risks and the various lines of defenses and risk mitigation tools. Certain events impacting on institutions' risk management actions will also be examined and discussed.

SESSION SPEAKERS

MR. JONATHAN RAVELAS
Senior Advisor,
Reyes Tecandong & Co.



Mr. Jonathan Ravelas is a highly sought speaker and commentator on the Philippine economy and markets in the Philippine media. Currently, Mr. Ravelas serves as Managing Director at eManagement for Business and Marketing Services, Senior Advisor at Reyes Tacandong and Co and Independent director of DITO CME and PH Resorts.

MR. RODRIGO ALBERT MADDATU
Head of Investments
BDO Private Bank Trust Group



Mr. Maddatu is a seasoned financial professional with over 18 years of experience in capital markets, spanning asset management and treasury. He has held key roles in ATR Asset Management, Metrobank, and Pru Life UK Investments, where he led fixed income, equities, and multi-asset strategies. He is currently the Head of Investments at BDO Private Bank Trust Group.

MR. JUAN GABRIEL TOMAS IV
Senior Vice President
RCBC



Mr. Tomas is currently the Operations Group Head at Rizal Commercial Banking Corporation (RCBC) covering support functions for all head office units, cash centers and branches. Prior to assuming his current position, he was the Chief Risk Officer and Risk Management Group Head looking at all enterprise risk areas including Sustainable Finance, Fraud Risk and Information Security.

ATTY. RICARDO PILARES III
Vice President for Legal,
Metro Pacific Investments Corporation



Atty. Ricardo Pilares III is concurrently Vice President for Legal, Compliance Officer, Corporate Governance Officer and Corporate Secretary for Metro Pacific Investments Corporation (MPIC). He also acts as legal counsel and corporate secretary for the company's various subsidiaries.

ATTY. JULIO BUCOY
Partner, M Law Office



Atty. Julio P.G. Bucoy is a partner at the M Law Office and has the distinction of being both a lawyer and a Chartered Financial Analyst. He was previously the President of Cocolife Asset Management Company Inc. (CAMCI) and the Head of Corporate Finance and Strategy of United Coconut Planters Life Assurance Corporation (Cocolife).

MR. ANTONINO NAKPIL
Former President & CEO,
Philippine Dealing and Exchange
Corporation



Mr. Antonino Nakpil was formerly the President and Chief Executive Officer of the Philippine Dealing and Exchange Corporation (PDEX) and the former Executive Director at the Philippine Dealing System Holdings Corp (PDS Group), the holding company of PDEX.

MS. CHRISTIANE JOYMIEL MENDOZA
Risk Consulting Partner, SGV & Co.



Ms. Chin is a Risk Consulting Partner at SyCip Gorres Velayo & Co. (SGV & Co.), a member firm of EY Global. She has over 20 years of experience specializing in internal audit, internal controls transformation, third-party risk management, IT audit, and AML/CTPF assessment and transformation. She has worked extensively with global Chief Audit Executives to redesign operating models that improve risk focus and organizational value, and also leads AML/CTPF and ML/TPF programs aligned with regulatory requirements.

PLEASE NOTE:

1. Required advance readings will be provided to participants prior to the conduct of the sessions.
2. Participants need to complete the mandatory self-assessment examinations at the end of each session.

REGISTRATION FEE:

₱15,000.00 + VAT

CONTACT US



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