



FINEX MEMBERSHIP NOMINATION FORM

The Membership Committee
Financial Executives Institute of the Philippines (FINEX)

Ladies and Gentlemen:

I hereby propose for membership in FINEX _____
Full Name

_____ of _____
Title/Position Name of Company

I have known the nominee for _____ years and my relationship with him/her is primarily:

- personal
- professional
- business related

I consider the nominee to be a desirable member and potential asset of FINEX because of the following:

Enclosed are information and materials about the nominee:

- Latest Audited Financial Statements of Nominee’s Company
- Table of Organization showing Nominee’s position in the Company
- Company Profile
- Curriculum Vitae of Nominee
- Nominee’s 2” x 2” photo

Very Truly Yours,

 Signature over Printed Name of
 Primary Nominating Member

 Date

Action by the Membership Committee

- Approved
- Deferred Reasons: _____
- Disapproved Reasons: _____

 Chairman - Membership Sub-Committee on Acceptance
 Date: _____

 Overall Chairman - Membership Committee
 Date: _____

Action by the Board of Directors

Recommend Approval/Disapproval:

 Liaison Director
 Date: _____

Approved/Disapproved by the Board:

 President
 Date: _____



FINEX MEMBERSHIP NOMINATION FORM

PLEASE PRINT YOUR ANSWERS IN THE SPACE PROVIDED FOR EACH ITEM
ANY FALSE OR MISLEADING ANSWER MAY RESULT IN THE REJECTION OF THE NOMINATION.

PERSONAL BACKGROUND

Full name : _____ Nickname : _____

Sex : Male Female Nationality : _____

Date of Birth (dd-mm-yyyy): _____ Place of Birth : _____

Marital Status : Married Single Spouse's Full Name : _____

Widowed Divorced/Separated

Home Address : _____

Telephone Number(Home) : _____ Mobile (Personal) : _____

Fax (Home) : _____ Email (Personal) : _____

	School	Year Graduated	Degree	Honors
College	_____	_____	_____	_____
Post Graduate	_____	_____	_____	_____
Professional License/ Special Qualifications	_____	_____	_____	_____

BUSINESS AND PROFESSIONAL DATA

Name of Present Employer: _____ Position : _____

Business Address : _____

Telephone Number (Office): _____ Fax Number (Office) : _____

Nature of Business : _____ Years in Operation : _____

Financial Highlights for fiscal year ended (_____): _____ Number of Employees : _____

Total Assets : _____ Gross Income/Sale : _____

Total Equity : _____ Net Income : _____

	Company	Position	From	To
Work Experience	_____	_____	_____	_____
	_____	_____	_____	_____
	_____	_____	_____	_____

Affiliations/
Organizational : _____ Organization _____ Position in Organization _____

Memberships : _____ _____



FINEX MEMBERSHIP NOMINATION FORM

PLEASE PRINT YOUR ANSWERS IN THE SPACE PROVIDED FOR EACH ITEM

- Yes No Have you ever been accused of a crime in a formal complaint, information or indictment?
- Yes No Are there pending court cases in which you are a respondent?
- Yes No Have you ever been arrested or convicted or pleaded guilty in a court to any offense or crime?
- Has the Securities and Exchange Commission, any court or any other regulatory agency or organization ever:
- Yes No a) found you to have made a false statement or omission or to have been dishonest, unfair or unethical?
- Yes No b) enjoined you (i.e. issued any injunction or order) in connection with any investment-related activity?
- Yes No c) found you to have been involved in a violation of its rules, statutes or regulations?
- Yes No d) found you to have caused a business to have its authorization to do business denied, suspended, revoked or restricted?
- Yes No e) disciplined you by expelling or suspending you from membership, barring or suspending your association with its members, or restricting your activities?
- Yes No Has your license as an attorney, accountant or government contractor ever been revoked or suspended?
- Yes No Has any foreign government ever entered an order of any kind against you related to investments or fraud?
- Yes No Have you or a firm that you exercised management over, or owned 10% or more of the securities of, failed in business, made a compromise with creditors, filed a bankruptcy petition or been declared bankrupt or insolvent?
- Have you been discharged or permitted to resign because you were accused of:
- Yes No a) violating certain statutes, regulations, rules or industry standards of conduct?
- Yes No b) fraud or the wrongful taking of property?
- Yes No c) failure to supervise in connection with certain statutes, regulations, rules or industry standards of conduct?
- Yes No Has any professional, trade or regulatory body censured or reprimanded you for negligence, incompetence or mismanagement, or dismissed or requested you to resign from any position or office for negligence or mismanagement?

I certify that I have read and understood all the questions set forth in this application and the answers I have furnished in this form are true and correct to the best of my knowledge and belief.

I also agree that in case I am admitted as a member, FINEX shall nevertheless have the right and full discretion to terminate my membership at any time or immediately if FINEX shall subsequently discover that any of the answers or information furnished by me in this form are false or substantially inaccurate.

Nominee's Printed Name & Signature

Date

Noted By:

Primary Nominating Member's Printed Name & Signature

Date

Secondary Nominating Member's Printed Name & Signature

Date